

2-29-00

A

PATENT APPLICATION TRANSMITTAL LETTER

(Large Entity)

Docket No.

MCS-093-99

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:
SZELISKI et al.

For: **A SYSTEM AND METHOD FOR STATISTICALLY COMPARING
AND MATCHING PLURAL SETS OF DIGITAL DATA**

Enclosed are:

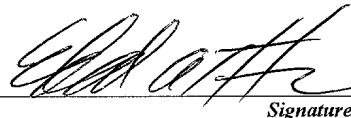
- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL433215478US
☒ 6 sheets of drawings.
☐ A certified copy of a application.
☒ Declaration ☒ Signed. ☐ Unsigned.
☒ Power of Attorney
☐ Information Disclosure Statement
☐ Preliminary Amendment
☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	20	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
TOTAL FILING FEE					\$690.00

- ☐ A check in the amount of to cover the filing fee is enclosed.
☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0463 as described below. A duplicate copy of this sheet is enclosed.
☒ Charge the amount of \$690.00 as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: February 18, 2000


Signature

EXPRESS MAIL CERTIFICATE UNDER 37 C.F.R. 1.10

Express Mail mailing number: EL433215478US

Date of Deposit: February 18, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231.

CC:

By: Edmond A. DeFrank

Signature

Edmond A. DeFrank

Reg. No. 37,814

LYON, HARR & DEFRANK

300 Esplanade Drive, Suite 800

Oxnard, CA 93030

TEL: (805) 278-8855

FAX: (805) 278-8064

**A SYSTEM AND METHOD FOR STATISTICALLY COMPARING
AND MATCHING PLURAL SETS OF DIGITAL DATA**

5

BACKGROUND OF THE INVENTION

1. Field of the Invention.

10 The present invention relates in general to object detection and tracking, and in particular to a system and method for statistically comparing and matching plural sets of digital data.

2. Related Art.

15 Applications for automatic digital object detection and tracking, image registration, pattern recognition and computer vision analysis are becoming increasingly important for providing new classes of services to users based on assessments of the object's presence, position, trajectory, etc. These assessments allow advanced and accurate digital analysis (such as pattern recognition, motion analysis, etc.) of the objects in a scene, for example, objects in a sequence of images of a video scene. Plural objects define each image and are typically nebulous collections of pixels, which satisfy some property. These pixels could be the result of some pre-processing operation such as filtering, equalization, edge or feature detection, applied to raw input images. Each object can occupy a region or regions within each image and can change their relative locations throughout subsequent images of the video scene. These objects are considered moving objects, which form motion within a video scene and can be automatically detected and tracked with various techniques, one being template matching.

20
25
30 Template matching is a class of computer algorithms that is used in many digital computer applications, such as image registration, pattern

recognition and computer vision applications. A template matching algorithm defines a function (for example, a metric) that estimates the similarity between sets of digital data. In this case, one set of digital data is commonly referred to as a template and another set of digital data is referred to as an image, wherein the template is typically smaller than the image (for instance, the template can be a small portion of the image). In computer vision applications, the template usually represents an object of the image that is being tracked and detected (located) within the image. The object can be located by computing the metric at various locations (u, v) in the image and determining where the metric is maximized.

However, many systems that use template matching are not robust or flexible enough for advanced image registration, pattern recognition and computer vision applications due to unfavorable tradeoffs of functionality for performance (for example, restricting themselves to translations of the template). Therefore, what is needed is a system and method for comparing and matching multiple sets of data by transforming one set of data and performing statistical analyses on the multiples sets of data. Whatever the merits of the above mentioned systems and methods, they do not achieve the benefits of the present invention.

SUMMARY OF THE INVENTION

To overcome the limitations in the prior art described above, and to overcome other limitations that will become apparent upon reading and understanding the present specification, the present invention is embodied in a system and method for statistically comparing a first set of digital data to at least a second set of digital data and matching the first set of digital data to appropriately corresponding portions of the second set of digital

data. The first or the second set of digital data can be transformed during statistical analysis to enhance statistical analysis of the digital data.

In one embodiment of the present invention, the system includes a host processor executing software that implements an address generator, an acceptance tester and a statistical comparison processor. The host processor controls the entire process and initially renders or rasterizes the sets of data. The address generator generates addresses, which can reflect a transformation, for the first set of data and the second set of data to be compared. The addresses are used by filtering functions to generate per-pixel values, such as color values. The acceptance tester receives the per-pixel values and determines the pixels that are to be used to contribute to statistical analysis. The statistical comparison processor statistically analyzes the pixels between the first data set and the second data set for comparison purposes. The host processor then examines the statistical comparisons computed by the statistical comparison processor and makes further processing decisions. The process repeats until a desired result is computed, such as a match or non-match between the data sets.

Alternatively, the system can be implemented in a three-dimensional (3D) graphics rasterizer. In this embodiment, the system includes a frame buffer (a block of graphics memory that represents the display screen) and texture memory (a block of graphics memory that can contain portions of the display screen), in addition to the components discussed above. The first set of digital data can be stored in the frame buffer while the second set of data can be stored in the texture memory. Also, statistical generation can be performed by the rasterizer, with or without actually rendering or writing a 3D digital scene comprised of the digital data to the frame buffer. In this embodiment, rasterization and rendering techniques and advanced statistical generation and comparison of the present

invention can be integrated to form a novel video graphics device or hardware video card for computer systems.

5 The present invention as well as a more complete understanding thereof will be made apparent from a study of the following detailed description of the invention in connection with the accompanying drawings and appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

10 Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 is a block diagram illustrating an apparatus for carrying out the invention.

FIG. 2 is an overview flow diagram of the present invention.

15 FIG. 3 is a flow diagram of the operation of the present invention.

FIG. 4 is a general block diagram of the present invention.

FIG. 5 is a block diagram illustrating one embodiment of the present invention.

20 FIGS. 6A-6C are graphical images illustrating a working example of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

25 In the following description of the invention, reference is made to the accompanying drawings, which form a part hereof, and in which is shown by way of illustration a specific example in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

I. Exemplary Operating Environment

FIG. 1 and the following discussion are intended to provide a brief, general description of a suitable computing environment in which the invention may be implemented. Although not required, the invention will be described in the general context of computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Moreover, those skilled in the art will appreciate that the invention may be practiced with other computer system configurations, including hand-held devices, multiprocessor systems, microprocessor-based or programmable consumer electronics, network PCs, minicomputers, mainframe computers, and the like. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located on both local and remote memory storage devices.

With reference to FIG. 1, an exemplary system for implementing the invention includes a general-purpose computing device in the form of a conventional personal computer 100, including a processing unit 102, a system memory 104, and a system bus 106 that couples various system components including the system memory 104 to the processing unit 102. The system bus 106 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read only memory (ROM) 110 and random access memory (RAM) 112. A basic input/output system 114 (BIOS), containing the basic routines that help to transfer information between elements within the personal computer 100,

such as during start-up, is stored in ROM 110. The personal computer 100 further includes a hard disk drive 116 for reading from and writing to a hard disk, not shown, a magnetic disk drive 118 for reading from or writing to a removable magnetic disk 120, and an optical disk drive 122 for reading from or writing to a removable optical disk 124 such as a CD ROM or other optical media. The hard disk drive 116, magnetic disk drive 128, and optical disk drive 122 are connected to the system bus 106 by a hard disk drive interface 126, a magnetic disk drive interface 128, and an optical drive interface 130, respectively. The drives and their associated computer-readable media provide nonvolatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 100. Although the exemplary environment described herein employs a hard disk, a removable magnetic disk 120 and a removable optical disk 124, it should be appreciated by those skilled in the art that other types of computer readable media which can store data that is accessible by a computer, such as magnetic cassettes, flash memory cards, digital video disks, Bernoulli cartridges, random access memories (RAMs), read only memories (ROM), and the like, may also be used in the exemplary operating environment.

A number of program modules may be stored on the hard disk, magnetic disk 120, optical disk 124, ROM 110 or RAM 112, including an operating system 132, one or more application programs 134, other program modules 136, and program data 138. A user may enter commands and information into the personal computer 100 through input devices such as a keyboard 140 and pointing device 142. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 102 through a serial port interface 144 that is coupled to the system bus 106, but may be connected by other interfaces, such as a parallel port, game port

or a universal serial bus (USB). A monitor 146 or other type of display device is also connected to the system bus 106 via an interface, such as a video adapter 148. In addition to the monitor 146, personal computers typically include other peripheral output devices (not shown), such as speakers and printers.

The personal computer 100 may operate in a networked environment using logical connections to one or more remote computers, such as a remote computer 150. The remote computer 150 may be another personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements described above relative to the personal computer 100, although only a memory storage device 152 has been illustrated in FIG. 1. The logical connections depicted in FIG. 1 include a local area network (LAN) 154 and a wide area network (WAN) 156. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and Internet.

When used in a LAN networking environment, the personal computer 100 is connected to the local network 154 through a network interface or adapter 158. When used in a WAN networking environment, the personal computer 100 typically includes a modem 160 or other means for establishing communications over the wide area network 156, such as the Internet. The modem 160, which may be internal or external, is connected to the system bus 106 via the serial port interface 144. In a networked environment, program modules depicted relative to the personal computer 100, or portions thereof, may be stored in the remote memory storage device. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

II. General Overview

In general, the present invention is embodied in a system and method for statistically analyzing and comparing a first group of pixels of a defined portion of a digital scene, such as an object or template within the digital scene, to a second group of pixels, such as the entire digital scene or the image. The template is matched to appropriately corresponding portions of the image that represent the template. During statistical comparison and matching of the template and the image, either the first or the second group of pixels can be raster transformed. For instance, either the template or the image can be incrementally rotated or skewed to enhance the statistical analyses.

In particular, first, the system receives digital input, such as the images or the templates. This data can then be pre-processed, using a variety of techniques such as filtering, equalization, and edge or feature finding. The system then computes statistics on either transformed images or transformed templates. Next, the resulting computed statistics are analyzed and new requests based on the resulting statistics are generated with different transformations and/or different images until a desired result is computed, namely a match or non-match between the template and the image.

The present invention can be used as an object detection and tracking system for computer vision, motion analysis and pattern recognition applications, as a video acceleration system for computer graphics video hardware cards, as a video CODEC (coder/decoder), or any other suitable device that can utilize statistical comparison and matching between sets of digital data, such as images. In addition, the present invention can be implemented as computer software running on a computer system, as instruction sets operating within a microprocessor for a hardware device,

such as a computer graphics video card, as computer firmware operating within a digital device, such as a digital camera, or any other suitable digital processing system.

FIG. 2 is an overview flow diagram of the system and method of the present invention. In general, the present invention matches a first set of digital data to a second set of digital data by statistically comparing the sets of data. Namely, first the system receives a first set of digital data as a first input, which can be a template, and/or receives a second set of digital data as a second input, which can be an image (step 210). Next, the system 200 raster transforms either the first or the second set of digital data and computes statistics on the transformation (step 212). The system then analyzes the resulting statistics and makes calculated determinations based on the resulting statistics for generating new and different transformations on the data (step 214) until a desired result is achieved, such as a match or non-match between the first and the second set of digital data (step 216) or between other sets of data.

III. Details of Components and Operation:

FIG. 3 is a flow diagram of the operation of the present invention and FIG. 4 is a general block diagram of the present invention. Referring to FIG. 3 along with FIGS. 4 and 2, first, new sets of data, such as an image and/or a template is acquired (step 310) by the system 400 and initialized by the host processor 408. The host processor 408 can store the new sets of data in the memory devices. For instance, the first set of data, such as the template can be loaded into a first memory device 410 and the second set of data, such as the image can be loaded into a second memory device 412. Second, models, such as two-dimensional (2D) or three-dimensional (3D) models, are rendered and statistics are accumulated (step 312) by the host

processor 410 for the template and the image. Rendering and statistical accumulation can be accomplished with an address generator 414 and an acceptance tester 416, which will be discussed in detail below.

5 Rendering involves drawing geometric shapes or primitives, such as polygons, into dedicated memory. It should be noted that the present invention preferably uses triangles as the drawing primitive, although there are other primitive types that could be used. In general, a single triangle can be rendered by taking three vertices v_0 , v_1 , v_2 with the following fields $sx\ sy$ (the screen space coordinates of the triangle in the first memory device) $tu\ tv\ rhw$ (the 2D coordinates of each vertex in the texture, and a perspective term). The address generator 414 interpolates these parameters across the triangle; for each pixel in the first memory device subtended by the triangle in screen space ($sx\ sy$), the second memory device is used to compute an interpolated texture value at the
10 corresponding interpolated texture location. The comparison statistics between the two colors are then gathered, depending on the results of the acceptance test. This operation can be considered as a resampling operation being applied to the texture, which involves filtering the texture at different locations and at different densities.

15 Third, the host processor 408 reads back resulting statistics (step 314) from the statistics/comparison device 418 and adjusts the 2D/3D models based on the resulting statistics (step 316). Steps 312-316 are repeated as long as the desired iterations or quality for matching are not achieved (step 318). When the desired iterations or quality for matching
20 are achieved and if additional images or templates need to be analyzed, steps 310-316 are performed (step 320). However, if no additional images or templates need to be analyzed after the desired iterations or quality for matching are achieved, then the matching or non-matching results are
25

completed (step 322).

In general, the address generator 414 generates addresses for the template and the image that are to be compared. The addresses can reflect a transformation, such as combinations of rotations, scales and perspective transforms, of the template or image. The addresses serve as input to filtering functions that read from the images to be compared and generate color values (RGBA) and, if present, Z buffer and other per-pixel values. These values can be used by the acceptance tester 416 to decide whether to allow the pixel to contribute to the statistics. If the pixel is permitted to contribute, the color values are sent to a statistics/comparison device 418 for statistical analyses and comparison processing.

The statistics/comparison device 418 can contain variables that are updated for each pixel based on the input color values. For instance, in one embodiment of the present invention, statistical analyses for comparing and matching the sets of digital data can be accomplished by initially defining a function or metric that estimates the similarity between the sets of digital data. In this case, one set of digital data is the template and the other set of digital data is the image. The template can represent an object of the image that is being tracked and detected (located) within the image. The object can be located in the image by computing the metric at various locations (u, v) in the image and determining where the metric is maximized.

In the following examples, T represents the template image and I represents the input image. One metric that can be used is a cross-correlation coefficient, which measures the similarity between the image and the template on an absolute scale in the range [-1, 1], namely:

$$\frac{covariance(I,T)}{\sigma_I \sigma_T} = \frac{\sum_x \sum_y (T(x,y) - \mu_T)(I(x-u, y-v) - \mu_I)}{\sqrt{\sum_x \sum_y (I(x-u, y-v) - \mu_I)^2 \sum_x \sum_y (T(x,y) - \mu_T)^2}}$$

where μ_I and σ_I designate the mean and standard deviation of the image and μ_T and σ_T designate the mean and standard deviation of the template.

5 Example variables and computations that can be tracked by the statistics/comparison device 418 are illustrated below. To compute the statistic, the following sums can be calculated between the template (T) and corresponding pixels in the image (I):

- 10 1) $\sum I$ and $\sum T$, the sums of the respective pixel values
- 2) $\sum IT$, the sum of the product of the pixel values
- 3) $\sum I^2$ and $\sum T^2$ the sums of the squares of the respective pixel values
- 4) Pixel Count, the number of pixels that have been accumulated

15 It should be noted that computing these sums can dominate the runtime of the pattern recognition or other high-level algorithm that is using the metric.

Also, a summing metric for template matching can be used that involves summing some function of the difference between the image and template pixels, for example:

$$20 \quad f(I,T) = \begin{cases} (I-T)^2, & |I-T| \leq \delta \\ |I-T|, & \text{otherwise} \end{cases}$$

where δ is some value less than 20 (for 8-bit unsigned integer pixel data).

A more flexible variation involves computing $\sum LUT(f(I,T))$, the sum of a lookup based on a function of the pixel values. Two examples of $f(I,T)$ are $f(I,T)=I-T$ or $f(I,T)=|I-T|$ (the difference and absolute difference of the pixel values, respectively).

As mentioned above, a transform can be applied to either the input image or the template, in order to find transformed versions of the template object. Typical transformations can be combinations of rotations, scales and perspective transforms that are relatively close to identity (to minimize the size of the search space). All of the above-described variations share the characteristic that pixels from the template are iterated over pixels in the image, and a calculation is performed between corresponding pixels. The template is typically small compared to the image and static over a large number of template matching search probes.

Several higher-level search strategies can be used to find the best transformation parameters for a given template. One involves examining all possible combinations of parameters, e.g., examine the template at all possible positions, orientations, and scales. Some savings can be obtained by working in a multi-resolution hierarchy, i.e., to match a reduced size template to a reduced size image, and to then try local variations (perturbations) to find a better match.

Another strategy that can be used involves taking derivatives of the matching cost with respect to the unknown parameters, and then using a generalized gradient descent technique. In this case, in addition to summing intensities, (threshold) intensity differences, and intensity products or squares (as in regular, normalized, or robust enhanced cross-correlation), also products of horizontal and vertical derivatives are accumulated with themselves and with the per-pixel difference. If transformations other than translation are being estimated, the number of required derivatives and products rise quickly. However, it is possible to amortize the computation of derivatives that are more complicated and their products by dividing the template up into smaller regions or patches,

and only accumulating simpler derivatives and products on a per-pixel basis.

An additional strategy that can be used is to let the unknown transformation parameters (or at least their updates) be controlled by the motion of vertices embedded in the template. For example, the template can be a wireframe mesh of an object that is being tracked. The control mesh can be discretized into finer polygons, such as triangles, and the triangles surrounding each control vertex can be used to estimate that vertex's motion. For reasonably textured templates, convergence will occur to the same estimate as the full gradient descent techniques, which explicitly model the interaction between vertices controlling a common patch or triangle.

In addition, the alpha values in the input colors can be used to weight the statistics. For example, if α_T is the template alpha and α_I the image alpha, then a new α can be derived from these values by selecting one, or by doing a weighted blend between them. The resulting α could then be used to weight the pixel's contribution to the above-described statistics. Among other things, this would allow pixels to be importance-weighted by the application.

V. Working Example:

The following working example is for illustrative purposes only. FIG. 5 is a block diagram illustrating one embodiment of the present invention. In general, similar to FIG.4, the example system 500 of FIG. 5 includes a host processor 508, a first memory device 512, such as a frame buffer, a second memory device 510, such as a texture memory device, and a graphics processor 513 that includes an address generator 514, an acceptance tester 516 and a statistics/comparison processor 518. The

frame buffer 512 can be a block of graphics memory that represents a display for a computer screen and texture memory 510 can be a block of graphics memory that can contain portions of the display screen. In addition, the example system 500 of FIG. 5 also includes a statistics enable switch 520 and an alpha blending device 522. In this example, the graphics processor 513 resamples either the first or the second set of data to be matched to each other using a perspective transformation.

Preferably, this example system can be used as a three-dimensional (3D) graphics rasterizer that can be integrated to form a novel video graphics device or hardware video card for computer systems. In this embodiment, the first set of digital data can be stored in the texture memory while the second set of data can be stored in the frame buffer. Also, statistical generation can be performed with or without actually rendering or writing a 3D digital scene to the frame buffer with the statistics enable switch 520. For implementation of the computer video graphics hardware device, additional core logic can be used to compute the statistics and to forward the results back to the host processor 508 upon request. FIG. 5 shows computations of the statistics between the texture map and the frame buffer image for tracking statistics on the two input pixel values instead of blending between them and writing the result to the frame buffer.

Namely, when the statistics enable switch 520 is enabled, the graphics processor 513 renders the rasterized information (step 220 of FIG. 2) without writing the results to the frame buffer. In contrast, when the statistics enable switch 520 is disabled, the graphics processor 513 actually renders or writes the rasterized information to the frame buffer and display screen. The alpha blending device 522 allows use of an additional (such as a fourth) color component that is not displayed, but that corresponds to the opacity of a surface. This provides control of the

amount of color of a pixel in the source surface to be blended with a pixel in the destination surface.

In one specific embodiment of the example of FIG. 5, the template is treated as a texture and the frame buffer an image and the display primitive for rendering purposes is a triangular polygon. In addition, instead of rasterizing the texture into the frame buffer, certain statistics can be recorded for normalized correlation or other statistics can be recorded for variations. In one example, if the texture is considered a template and the frame buffer an image, the graphics processor 513 can be used to resample the template using a perspective transformation. Also, the graphics processor 513 can be used to record statistics (ΣT , ΣI , ΣIT , ΣT^2 , ΣI^2 for normalized correlation, or other statistics for a variation) for later forwarding to the host processor.

The example system 500 is extremely flexible because it has the ability to intersperse rendering/accumulation commands with accumulator readback commands. For example, if a deformable triangular patch is being tracked, the triangle can be rendered using smaller triangles (such as 16), and the accumulator can read back after each of the small triangles has been rendered. This allows host processor 508 to compute necessary derivatives and sums to compute a full affine motion for the triangle. Similarly, if a full set of data is being tracked, each triangle's accumulated values can be read back in order to compute an independent motion of each control vertex. The number of readbacks per rendered model are few enough that they should not impose a large burden on a hardware graphics port of a computer system, such as the exemplary computer system depicted in FIG. 1.

Either the first or the second set of data to be compared with each other can be rendered at a number of offsets. This allows the host

processor 508 to either explicitly find the best position for the first set of data, such as the template, or accumulate the required information to analytically compute the best update. The offsets are preferably simple integer or fractional (such as $\frac{1}{2}$ pixel) perturbations to the vertices. As
5 such, it is preferable that the system 500 supports differencing of the deformed data (such as the template) and the target data (such as the image) at a number of pixel or sub-pixel shifts. For example, the host processor 508 can specify the shift amount (for instance $d=1$ or $d=\frac{1}{2}$ pixel), to enable accumulation differences not only with the target data, but also
10 with versions shifted by $\pm d$ pixels horizontally and vertically (accumulating 9 times the statistics). For software implementations, the speed/memory-hardware tradeoff is good, where the cost of rasterizing a single pixel is still several cycles.

Another advantage of integrating the rasterization and matching
15 stages is that the hardware can perform the visibility computation for 3D model-based tracking. The 3D model would be rendered once in order to compute the z-buffer, and then it would be rendered again to compute the (per-triangle) statistics. Rendered pixels which fail the z-buffer test would be discarded from the computation, since they are visible.

FIGS. 6A-6C are graphical images illustrating a working example of
20 the present invention. FIG. 6A shows an image 600 from which a feature of interest 610 is to be extracted. FIG. 6B shows the feature of interest 610 as a template 615 extracted from FIG. 6A. The feature 610 is the portion of the image 600 that is to be tracked or located in subsequent input images. FIG.
25 6C shows a subsequent input image 630 that contains a rotated and scaled version of the feature 610. The system of the present invention detects the feature 610 by transforming either the template 615 or the image 630 (in this case, the template 615 is transformed) and gathering statistics

between the transformed template 615 and the input image 630. A suitable rasterizer in accordance with the present invention, as described above, is used to transform the template 615.

Display primitives, in this case triangles, are used to transform the
5 template 615 and locate it in the input image 630. For instance, the mapping of the triangles from template 615 to image 630 is shown by arrows 640, 645. Also, although two display primitives encompass the entire template 615 for rasterizing the template 615, additional primitives can be used for rasterizing and they do not necessarily have to encompass
10 the entire template 615. Further, any suitable transform can be used. For example, powerful transforms, such as perspective transforms, can be applied to the template, as well as the affine transform depicted in FIG. 6C.

The foregoing description of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive
15 or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. For example, any pre-processing transformation, such as filtering, equalization, or edge or feature finding, could be applied to the images before they are input to the system and method of the present invention. Thus, it is intended that
20 the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.

WHAT IS CLAIMED IS:

1. A method for comparing and matching a first set of digital data to at least a second set of digital data, comprising:

5 raster transforming at least one of the first set of digital data and the second set of digital data; and

statistically comparing and matching the raster transformed sets of digital data to appropriately corresponding portions of each other.

10 2. The method of claim 1, further comprising analyzing the statistical comparisons and generating new transformations for matching the sets of data.

15 3. The method of claim 1, further comprising statistically comparing the raster transformed sets of digital data until a match or non-match between the first and second sets of data is achieved.

20 4. The method of claim 1, wherein the raster transforming comprises raster transforming at least one of the first or the second set of digital data and computing statistics on the transformation.

5. The method of claim 4, wherein statistically comparing and matching comprises analyzing the computed statistics of the transformation and calculating new and different transformations on the digital data.

25 6. A method for comparing and matching a first set of digital data to at least a second set of digital data, comprising:

loading at least one of the first and second sets of digital data into a first memory device;

rendering model transformations and accumulating statistics of the loaded digital data;

adjusting the models based on the accumulated statistics; and

statistically comparing and matching the model transformations of the loaded set of digital data to appropriately corresponding portions of the other set of digital data.

7. The method of claim 6, further comprising statistically comparing the sets of digital data until a match or non-match between the first and second sets of data is achieved.

8. The method of claim 6, wherein adjusting the models comprises analyzing the statistical comparisons and generating new transformations for matching the sets of data.

9. A system for tracking digital templates of a digital scene defined by plural images, comprising:

a raster processor that transforms at least one of the templates; and

a compare processor that simultaneously and statistically compares and matches images associated with the templates for tracking the templates.

10. The system for tracking digital templates of claim 9, wherein the compare processor comprises an address generator that generates addresses for the template and the image that are to be compared.

11. The system for tracking digital templates of claim 9, wherein

the addresses reflect transformations, including combinations of rotations, scales and perspective transforms of the template or image.

12. The system for tracking digital templates of claim 9, wherein
5 the addresses serve as input to filtering functions that read from the images to be compared and generate color values.

13. The system for tracking digital templates of claim 9, wherein
the template comprises a group of pixels of the image and wherein the
10 compare processor comprises an acceptance tester preprogrammed to decide whether to allow a pixel of the template to contribute to the statistics.

14. The system for tracking digital templates of claim 13, wherein
15 If the pixel is permitted to contribute, the color values are sent to a statistics/comparison device for statistical analyses and comparison processing.

15. The system for tracking digital templates of claim 14, wherein
20 the statistics/comparison device contains variables that are updated for each pixel based on the input color values.

16. The system for tracking digital templates of claim 15, wherein
the statistical analyses compares and matches the template to the image
25 by initially defining a function that estimates the similarity between the template and the image.

17. The system for tracking digital templates of claim 16, wherein

the template is located in the image by computing the function at various locations in the image and determining where the function is maximized.

5 18. The system for tracking digital templates of claim 9, wherein the compare processor comprises an alpha blending device that allows use of an additional color component that corresponds to the opacity of a surface for controlling the amount of color of a pixel in the source surface to be blended with a pixel in the destination surface.

10 19. The system for tracking digital templates of claim 9, wherein the raster processor renders the template at a plurality of offsets for allowing the raster processor to at least one of determining a desired position for the template and accumulate information to analytically compute a desired update.

15 20. The system for tracking digital templates of claim 19, wherein the offsets are fractional perturbations to vertices of the templates.

20

**A SYSTEM AND METHOD FOR STATISTICALLY COMPARING
AND MATCHING PLURAL SETS OF DIGITAL DATA**

ABSTRACT OF THE INVENTION

The present invention is embodied in a system and method for statistically comparing a first set of digital data to at least a second set of digital data and matching the first set of digital data to appropriately corresponding portions of the second set of digital data. The first or the second set of digital data can be transformed during statistical analysis to enhance statistical analysis of the digital data.

EXPRESS MAIL CERTIFICATE UNDER 37 C.F.R. 1.10

Express Mail mailing number: **EL433215478US**

Date of Deposit: February 18, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington D.C. 20231.

By: Edmond A. DeFrank

Signature: 

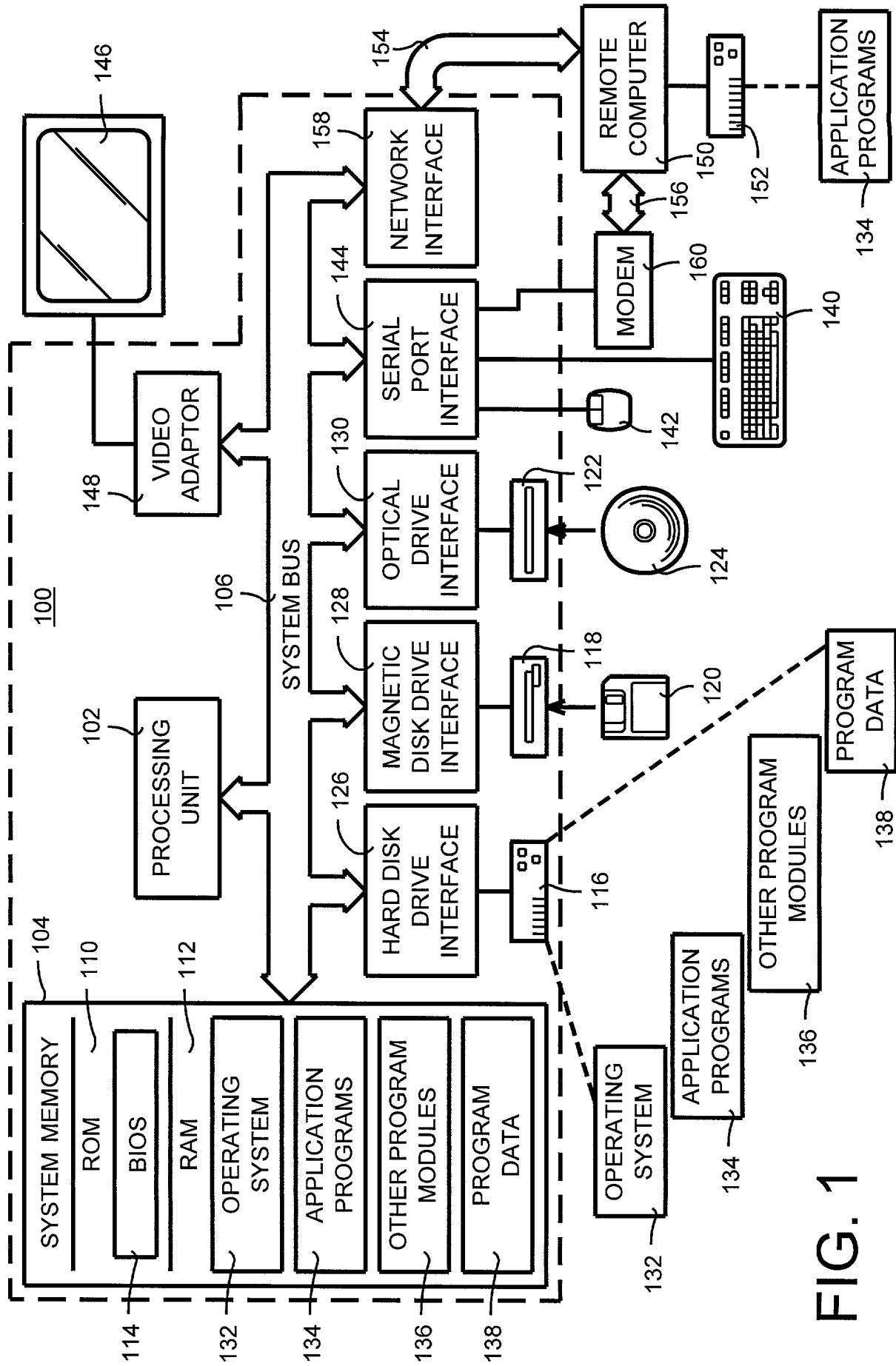


FIG. 1

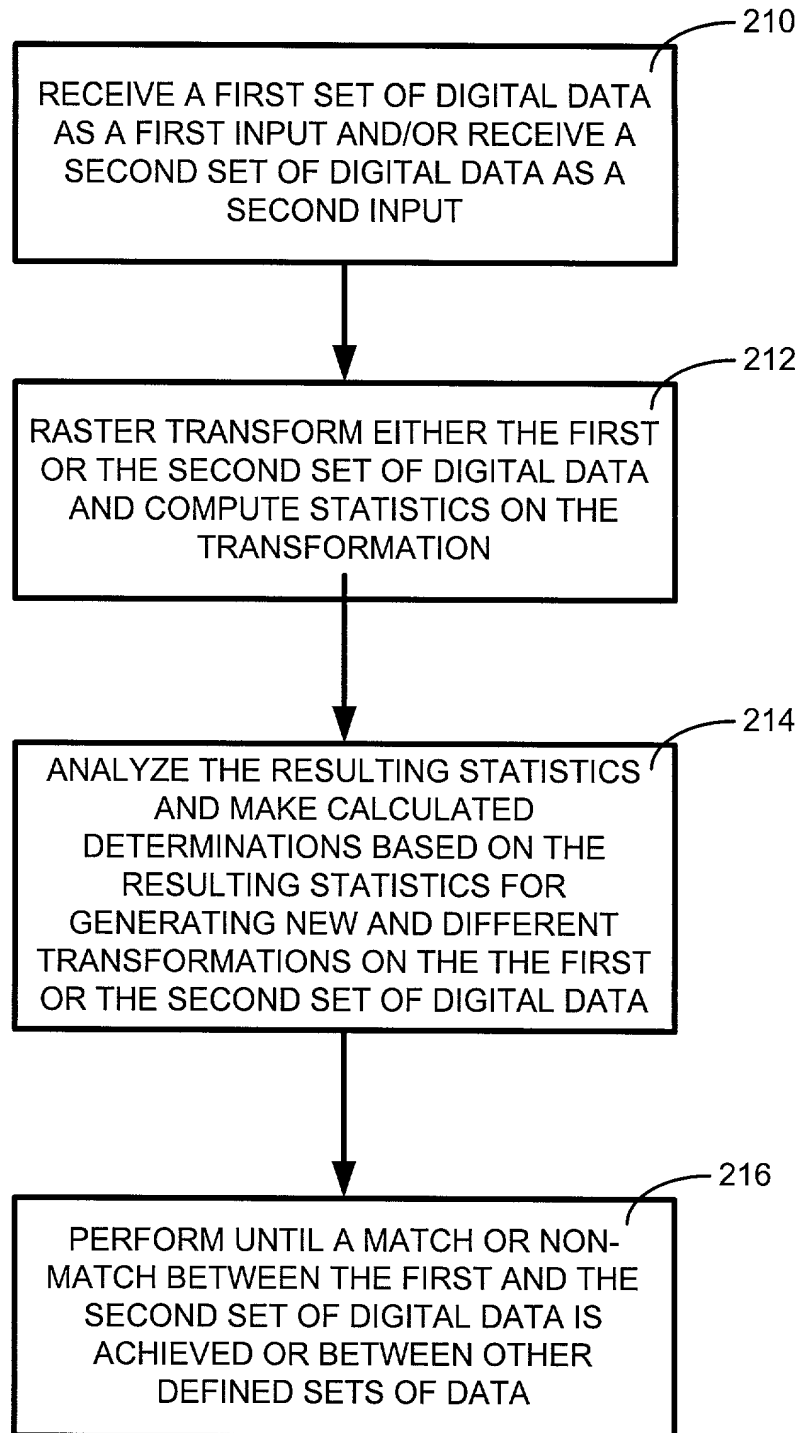


FIG. 2

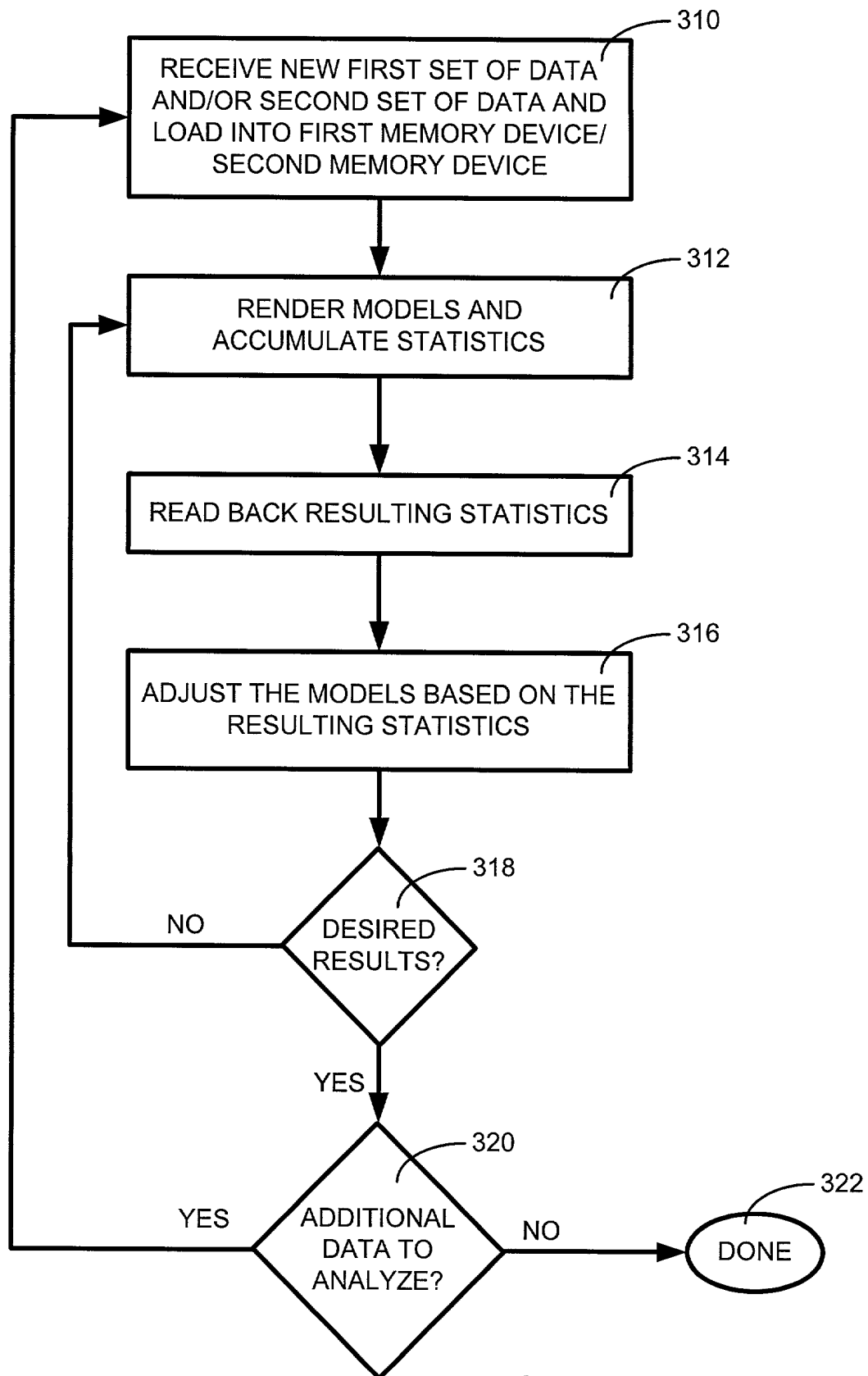


FIG. 3

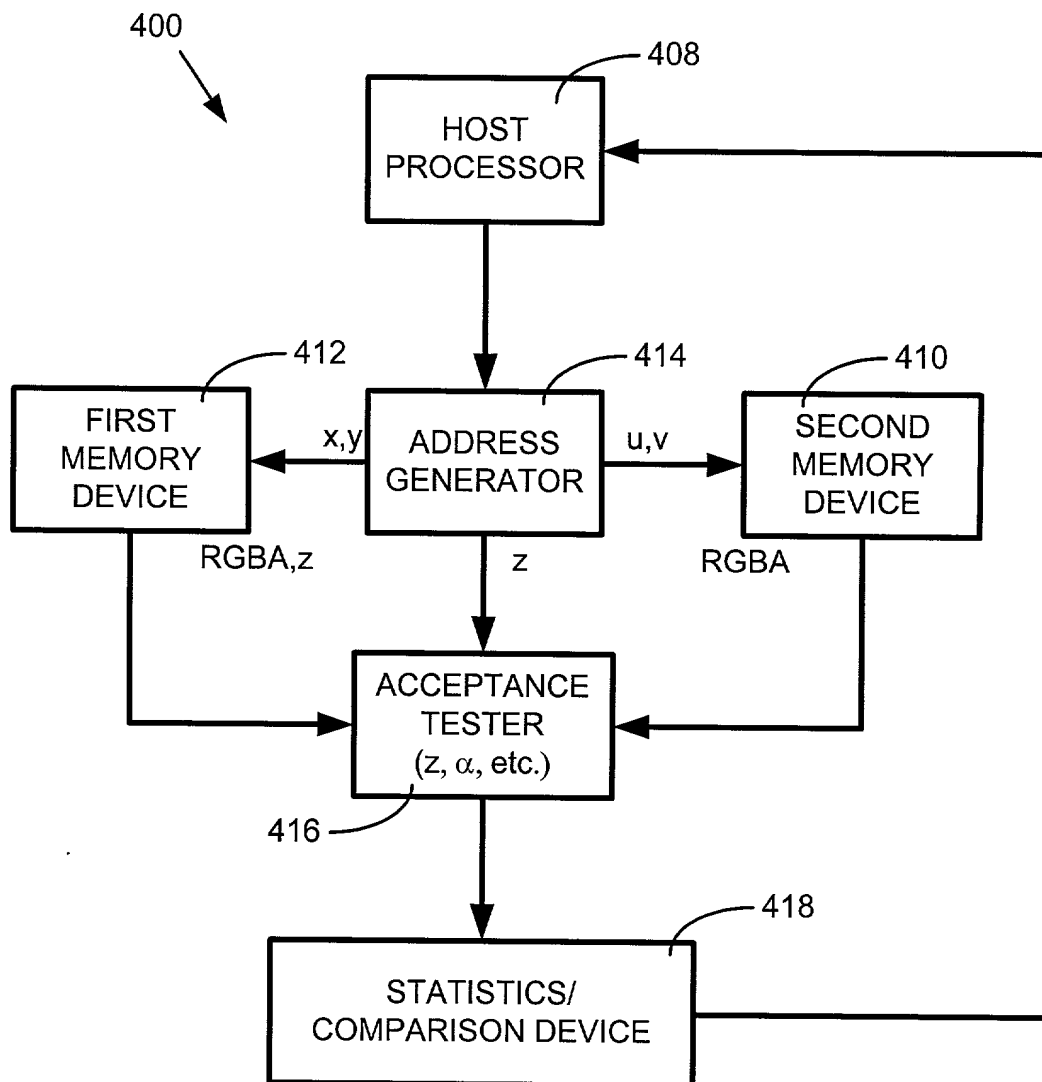


FIG. 4

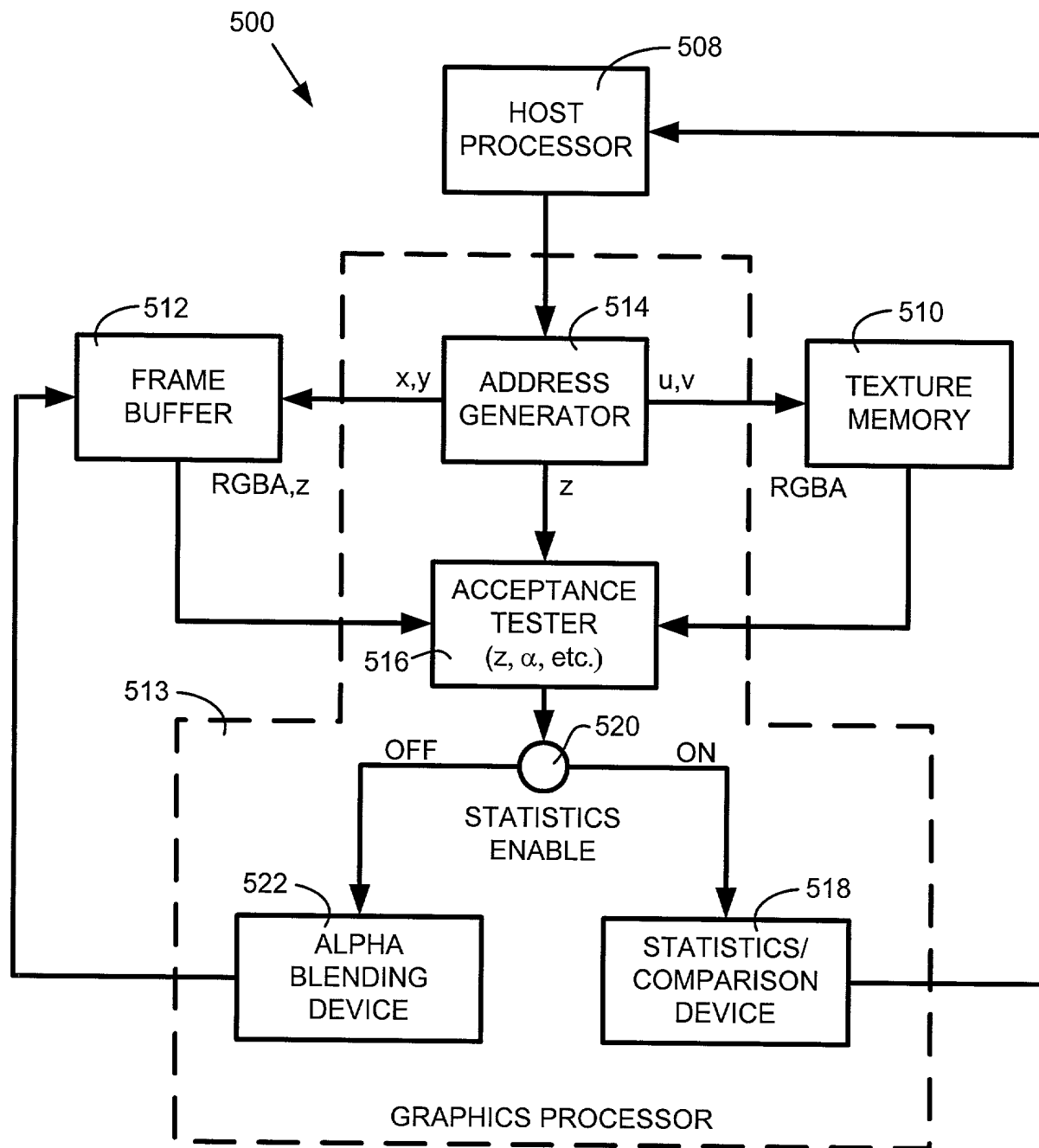


FIG. 5



FIG. 6A

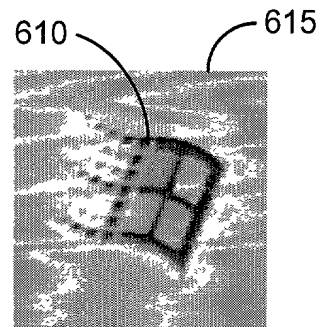


FIG. 6B

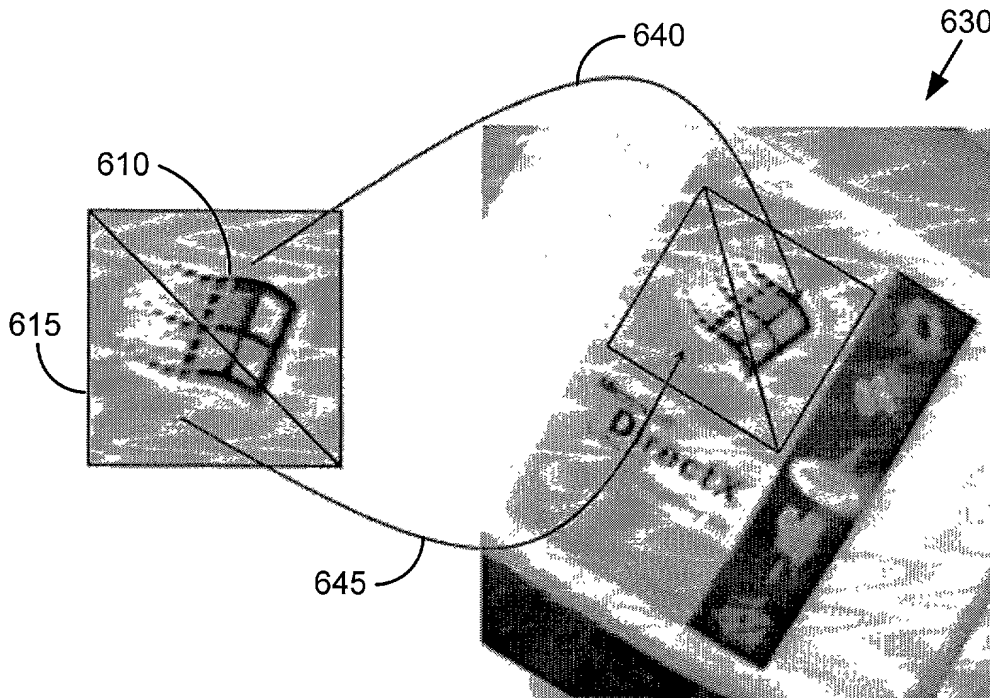


FIG. 6C

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A SYSTEM AND METHOD FOR STATISTICALLY COMPARING AND MATCHING PLURAL SETS OF DIGITAL DATA

This declaration is of the following type:

- ☒ original
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part

the specification of which

(check one)

☒ is attached hereto

_____ was filed on _____

Application Serial No. _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I do not know and do not believe that the invention was ever known or used in
the United States of America before my or our invention thereof;

I do not know and do not believe that the invention was ever patented or
described in any printed publication in any country before my or our invention thereof or more
than one year prior to this application;

I do not know and do not believe that the invention was in public use or on
sale in the United States of America more than one year prior to this application.

The invention has not been patented or made the subject of any inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application; and

As to applications for patents or inventor's certificate on the invention filed in any country foreign to the United States of America, prior to this application by me or my legal representatives or assign:

XX no such applications have been filed, or
___ such applications have been filed as follows:

PRIORITY CLAIM (35 U.S.C. §119)

We hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

A. Prior foreign/PCT application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 U.S.C. §119

<u>Country/PCT</u>	<u>Application No.</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
--------------------	------------------------	-------------------	-------------------------

NONE

[] Yes [] No

[] Yes [] No

[] Yes [] No

B. All foreign application(s), if any, filed more than 12 mos. (6 mos. for design) prior to this U.S. application

Country: NONE

Application No.:

Filing Date:

PRIOR U.S. APPLICATION(S) FOR WHICH BENEFIT UNDER 35 U.S.C. §119(e) or 35 U.S.C. §120 IS CLAIMED

<u>Serial No.</u>	<u>Filing Date</u>	<u>Patented</u>	<u>Pending</u>	<u>Abandoned</u>	<u>Provisional Application</u>
-------------------	--------------------	-----------------	----------------	------------------	--------------------------------

NONE

POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Katie E. Sako
Daniel D. Crouse
Richard T. Lyon
Edmond A. DeFrank

Registration No. 32,628
Registration No. 32,022
Registration No. 37,385
Registration No. 37,814

Send correspondence to:

Direct telephone calls to:

LYON, HARR & DEFRANK
300 Esplanade Drive, Suite 800
Oxnard, CA 93030
FAX: (805) 271-0255

Edmond A. DeFrank
(805) 278-8855

DECLARATION

I/We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of sole or first inventor: Richard S. Szeliski

Inventor's signature: Richard S. Szeliski

Date: 2/8/2000

Residence: 2602 131st Place NE

Bellevue, WA 98005

Citizenship: United States

Post Office Address: 2602 131st Place NE, Bellevue, WA 98005

Full name of second inventor: Nicholas P. Wilt

Inventor's signature: Nicholas P. Wilt

Date: 10 Feb 2000

Residence: 1612 34th Avenue

Seattle, WA 98122

Citizenship: United States

Post Office Address: 1612 34th Avenue, Seattle, WA 98122